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WEBINAR

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Fiduciary Duties and Director Responsibility

OVERVIEW

Directors of financial institutions are often community and business leaders. The position is one of status and trust, but it comes with a huge responsibility and potential personal liability. Legally, directors are unique fiduciaries who are ultimately responsible for the consequences of unsound or imprudent policies and practices, whether they involve lending, investing, protecting against internal fraud, or any other banking activity. A failure to meet these responsibilities can result in enforcement actions, civil money penalties, lawsuits and even criminal prosecution.

Understanding the scope of this responsibility is key to protecting the institution as well as the individuals who serve on boards.

HERE'S WHAT YOU'LL LEARN:

- Fiduciary duty;
- Regulatory requirements and expectations;
- Regulation O;
- Confidentiality;
- How to deal with conflicts of interest and corporate opportunities;
- Protecting Yourself; and
- Avoiding Penalties and Lawsuits.

WHO SHOULD ATTEND

This webinar is designed for directors of banks and other financial institutions, as well as for bank officers who routinely interact with the board of directors. This information is valuable to new directors as well as directors who have served for many years.

PRESENTER

Mary Neil Price is a Senior Consultant and Vice President at PBS. Ms. Price received her B.A. degree from Newcomb College in 1982 and her J.D. from Tulane University Law School in 1985. She has practiced banking and transactional law for thirty years. Her experience includes representation of community, mid-sized, regional and money-center banks. In private practice her career has encompassed mergers and acquisitions of privately-held and publicly-traded companies, equity capital and debt transactions, credit and finance and regulatory compliance. As in-house, general counsel and corporate secretary of a regional multi-bank holding company, she oversaw legal, compliance and CRA operations, including corporate governance, benefits and executive compensation, and expansion into non-deposit products and services.

FORMAT

Comprehensive written materials along with an online presentation for viewing serve as the basis of the presentation. Ample time will be dedicated to taking questions from attendees. Be sure to ask your questions during the webinar, as we are unable to offer telephone or email support after the presentation.

DATE/TIME

Wednesday, September 16, 2015
1:00 pm – 3:00 pm Eastern
12:00 pm – 2:00 pm Central
11:00 am – 1:00 pm Mountain
10:00 am – 12:00 pm Pacific
All times listed are Daylight Savings Time. If your area does not observe Daylight Savings time, the program will begin one hour earlier.

REGISTRATION INFORMATION

Your registration of $265 entitles you to a single telephone and Internet connection at one physical location and permission to make copies of the written materials for anyone else participating during the live webinar event. Additional locations are available at a discounted rate of $135 each. Additional locations may be registered by fax, mail or phone call to PBS. **Any recording, transmission, retransmission, or republishing of any portion of this webinar or additional copying or use of printed materials is prohibited.**

Prior to the program date, you will receive an email with the instructions for accessing the program materials, dialing into the program, and viewing the training session. Please add **registrar@probank.com** and **ConferenceCenter@meetme.net** to your list of approved email addresses. Participants have the option to listen to the audio portion only and print the web presentation from a link provided rather than view on the web. *(You will need Adobe Reader, available free at: www.adobe.com).*

Four Ways to Register/Order

All registrations/orders must be prepaid.

1. **Mail and make checks payable to:**
   Professional Bank Services, Inc.
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2. **Fax with credit card info to PBS at**: 502-451-6755
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REGISTRATION FORM

Fiduciary Duties and Director Responsibility

Wednesday, September 16, 2015 1:00 - 3:00 P.M. EDT

Instructions for participating in the webinar and the URL for accessing the materials will be emailed to you. (You will need Acrobat Reader, available free at: www.adobe.com).

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- ☐ **AUDIO CD RECORDING/MATERIALS ONLY:$265**

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